

Annex B

False Positive Review Form

In accordance with HES Sanctions and Export Controls Compliance Policy, this form must be filled in in the event sanctions screening has resulted in a hit, which is the expectation that this is hit is actually a False Positive.

This form must be filled in and signed and submitted to your local compliance officer or the Chief Compliance Officer to e-mail (compliance@hesinternational.eu) .

Name employee:	
Job title:	
Date:	

False Positive Review Form	
Details of Third Party	
Details of the Third Party:	
Brief description of the relationship with the Third Party:	
Date on which the screening took place:	

Has a previous screening on this Third Party been undertaken?	
What was the outcome of a previous screening on this Third Party?	
Details of the screening	
State the screening details.	
What was the reason for the screening to generate an alert?	
What is the reason to expect the alert to be a False Positive?	
Other information relevant for this review.	
State the documents relevant for this review.	